



## E-data Documentation



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# 1 Introduction

LGT offers clients the possibility to receive holding- and transaction-related data electronically. The files will be delivered to a SFTP Server of LGT on a daily basis and can be downloaded manually or automatically.

The following two data packages are available:

## **SWIFT Messages**

This data package includes transaction and statement message in SWIFT format. The messages are delivered by booking date.

## **LGT Standard**

This data package includes a Positions file, Transaction file and an Exchange Rate file. All three files are provided in CSV and XML format.

By default, both data packages are delivered. It is also possible to get one of these packages only if wanted.

The delivery of advices (physically or electronically) is not affected by the delivery of this data packages.

### **1.1 Document information**

This document describes supported message types (including Message-Examples), the position file, transaction file, exchange rate file and supported delivery channels.

## 2 Changes on 18.11.2023

### SWIFT-Adjustment

#### 2.1 SWIFT adjustment MT940

A MT940 message is initially triggered when a new account is opened or when account connections are set up for the first time. Afterwards, only MT940 messages are triggered when an account booking takes place.

#### 2.2 SWIFT adjustment MT300

The Prefix to the Unique Transaction Identifier is delivered in field 22M and the Unique Transaction Identifier in field 22N.

Example :22M:A1B2C3D4E5F6G7H8I9J0  
:22N:L1123456789

#### 2.3 SWIFT adjustment MT305 and MT300

Foreign currency option confirmation and the corresponding executions are provided in MT305 and MT300. The MT305 message type will now be delivered in addition to the existing SWIFT messages. Further information as well as sample messages are available in this documentation and on the LGT website.

#### 2.4 SWIFT adjustment MT601 and MT600

Commodity Option Confirmation (precious metal) and the corresponding executions are provided in MT601 and MT600. The MT601 message type will now be delivered in addition to the existing SWIFT messages. Further information and sample messages are available in this documentation and on the LGT website.

#### 2.5 SWIFT adjustment MT350

The following information is provided in field 72:

Field	Value	Description
/DEALTYPE/	CALL MONEY	Transaction Type = Money Market Call
/DEALTYPE/	FIDUCIARY CALL	Transaction Type = Fiducary Call
//COMMISSION:		Shows the percentage of possible commissions (only for Fiduciary Call)
//FEES:		Shows possible Fee-Amount and Currency
//VAT:		Shows possible Tax-Amount and Currency
//BOOK-DATE:		Booking date of Order
/PREP:		Advice date

#### 2.6 SWIFT adjustment MT320 and MT330

Taxes are delivered in field 33E.

Example :33E:CHF23,45

## 2.7 SWIFT adjustment MT320 and MT350

Fiduciary commissions are delivered in field 71F.

Example :71F:EUR58,31

## 2.8 SWIFT adjustment MT320 and MT330

Field 72 shows the interest credit account.

Example: 72:/DEALTYPE/FIDUCIARY FIX TERM  
//COMMISSION:EUR39,96  
//BOOK-DATE:20230829/PREP:20230829  
//PORTFOLIO:1112223004  
//INTRACC:1112223023

### **Adjustment LGT standard files (csv/xml format)**

## 2.9 Position file - Extension

The position file is extended by the following field:

Field	Description
Delta	Option Delta

## 2.10 Transaction file - Extension

The transaction file is extended by the following fields:

Field	Description
PrefixUTI	Prefix to the Unique Transaction Identifier
UTI	Unique Transaction Identifier

## 2.11 Transaction file – Adjustment

In the transaction file (XML), the field name "CalcPrice" is changed to "Price".

## 2.12 Transaction file – Adjustment

For OrderType "sectrx2-revn" the values in the following fields are added:  
Cat/SecNr, ISIN/ContrNr, BBFIGI and AssetSubtype.

## 2.13 Transaction file – Adjustment

For OrderType "settle-dvp" and "settle-rvp" the value for the field "AccruedInterst" is added.

## 3 Data Packages

### 3.1 SWIFT-Message-Types (Overview)

#### 3.1.1 Transactions

LGT delivers Swift-messages for the most common transactions. An overview is shown on Tab. 1. For detailed information, see chapters 4.1 to 0.

SWIFT-Message	Description
MT 300	Foreign Exchange Confirmation (Forex Spot, Forex Forward und Forex Swap)
MT 305	Foreign Currency Option Confirmation
MT 320	Fixed Loan/Deposit Confirmation (Time Deposit und Fixed Advance) Fiduciary Fixterm
MT 330	Call/Notice Loan/Deposit Confirmation (Fiduciary Calls)
MT 350	Advice of Loan/Deposit Interest Payment
MT 515	Client Confirmation of Purchase or Sale (Stock Exchanges)
MT 544	Receive Free of Payment (Receive from outside LGT or from another LGT Avaloq Instance)
MT 546	Delivery Free of Payment (Delivery from outside LGT or from another LGT Avaloq Instance)
MT 566	Corporate Action Confirmation (Dividend Cash, Dividend Stock, Dividend Choice, Dividend Reinvestment, Right Distribution, Liquidation Payment, Split, Spin-Off, Interest Payment, Redemption, Redemption Partial, Redemption prior to Maturity, Reduction of Nominal)
MT 599	Bank Issued Medium Term Notes and securities deliveries confirmation, which are not confirmed with the other MT messages
MT 600	Foreign Exchange Confirmation (Forex Spot, Forex Forward und Forex Swap) of Commodities
MT 601	Commodity Option Confirmation (precious metal)

Tab. 1 Supported swift messages types (advices)

#### 3.1.2 Statements

Statement messages provide information about all entries booked on an account (MT940/MT950). They may also provide details regarding quantity and identification of financial instruments at a specified point of time (MT535). For more information, see chapters 4.12 to 0

SWIFT-Message	Description
MT 535	Statement of Holdings
MT 536	Statement of Transactions
MT 940	Customer Statement Message (all bookings of an account including starting and closing balance)
MT 950	Statement Message
MT 999	Additional Information for MT940 / MT950

Tab. 2 Supported swift messages types (statements)



### 3.2 LGT Standard

The data package LGT Standard contains several types of files. An overview is shown on table. 4. For detailed information, see chapters 5.1 to 5.3:

<b>File</b>	<b>Description</b>	<b>Format</b>
Position file	Contains all positions and accounts of a clients	CSV, XML
Transaction file	Contains all transactions of all positions and accounts of a client	CSV, XML
Exchange rate file	Contains all exchange rates from previous day	CSV, XML

Tab. 3: LGT Standard: File overview

## 4 Supported SWIFT-Messages-Types (Detailed Description)

### 4.1 MT300 – Foreign Exchange Confirmation

Following transactions are notified with Swift message type MT300:

- Forex Spot
- Forex Forward
- Forex Swap

Following events trigger a MT300-Message:

- New Confirmation (NEWT)
- Cancellation (CANC)<sup>1</sup>

<sup>1</sup> Cancellations: If the message for the original transaction has been already sent, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

#### 4.1.1 Example: MT300 NEWT – Forex Spot

```
{1:F01BLFLLI2XAXXX000000001}{2:I300ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/3XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/3XXXXXX
:22A:NEWT
:94A:BILA
:22C:9678BLFL2X
:17T:N
:17U:N
:82A:BLFLLI2X
:87A:XXXXXXXXXX
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
/NAME/LGT
:15B:
:30T:20120621
:30V:20120625
:36:1,289678
:32B:EUR10000,
:57A:/<ORDER-NR DEBIT>
BLFLLI2X
:33B:USD12896,78
:57A:/<ACCOUNT-NR CREDIT>
BLFLLI2X
:15C:
:24D:PHON
:72:/DEALTYPE/FX SPOT
//CLIENT-BUYS:USD12896.78
//CLIENT-SELLS:EUR10000
//BOOK-DATE:20120621/PREP:20120622
-}
```

#### 4.1.2 Example: MT300 CANC – Cancellation Forex Spot

```
{1:F01BLFLLI2XAXXX000000001}{2:I300ZZZZZZZZZZXN}{3:{108: :<ORDER-NR>/1XXX/C}}{4:
:15A:
:20:<ORDER-NR>/1XXX/C
:21:<ORDER-NR>/1XXXXX
:22A:CANC
:94A:BILA
:22C:9678BLFL2X
:17T:N
:17U:N
:82A:BLFLLI2X
:87A:XXXXXXXXXX
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
/NAME/LGT
:15B:
:30T:20120621
:30V:20120625
:36:1,289678
:32B:EUR10000,
```

```

:57A:/<ACCOUNT-NR DEBIT>
BLFLLI2X
:33B:USD12896,78
:57A:/<ACCOUNT-NR CREDIT>
BLFLLI2X
:15C:
:24D:PHON
:72:/DEALTYPE/FX SPOT
//CLIENT-BUYS:USD12896.78
//CLIENT-SELLS:EUR10000
//BOOK-DATE:20120621/PREP:20120622
-}

```

#### 4.1.3 TAG 72

In TAG 72, special information to transactions are filled, that are not included in standard TAGs of SWIFT message MT300:

Field	Value	Description
/DEALTYPE/	FX SPOT	Transaction Type = FX Spot
/DEALTYPE/	FX FORWARD	Transaction Type = FX Forward
/DEALTYPE/	FX FORWARD MATURITY	Transaction Type = FX Forward Maturity
/DEALTYPE/	FX SWAP NEAR	Transaction Type = FX Swap Near
/DEALTYPE/	FX SWAP FAR	Transaction Type = Fx Swap Far
/DEALTYPE/	NDF	Transaction Type = Non Deliverable Forward
/DEALTYPE/	NDF MATURITY	Transaction Type = Non Deliverable Forward maturity
/DEALTYPE/	RESERVATION OPEN	Transaction Type = Reservation open
/DEALTYPE/	RESERVATION CLOSE	Transaction Type = Reservation close
//CLIENT-BUYS:		Currency and Amount of Purchase
//CLIENT-SELLS:		Currency and Amount of Sale
//CONTRACT-NO:		For Forex Forward and Forex Swap only
//BOOK-DATE:		Book-Date of Order
/PREP:		Advice-Date
//PORTFOLIO :		Portfolio Number

Tab. 4: TAG 72 / MT300

## 4.2 MT305 – Foreign currency option confirmation

- Foreign currency option confirmation is notified with a MT305 message.

Following events trigger a MT305-Message:

- New Confirmation (NEW)
- Cancellation (CANCEL)<sup>1</sup>

<sup>1</sup> Cancellations: If the message for the original transaction has been already sent, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

### 4.2.1 Example: MT305 NEW – Foreign currency option

```
{1:F01BLFLLI2XAXXX000000001}{2:I305ZZZZZZZZZZXN}{3:{108::<ORDER-NR>/2XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/2XXXXXX>
:21:NEW
:22:NEW/BLFLHH1234BLFL2X
:23:BUY/CALL/E/AUD
:82A:BLFLLI2X
:87A:XXXXXXXXXXXX
:30:230908
:31G:230908/1500/TOKYO
:31E:230908
:26F:PRINCIPAL
:32B:AUD154766,94
:36:0,648
:33B:USD100288,98
:37K:AUD0,0048372734
:34P:123456AUD748,65
:57A:BLFLLI2X
:15B:
:22L:ESMA
:22M:A1B2C3D4E5F6G7H8I9J0
:22N:LI123456789
-}
```

### 4.2.2 Example: MT305 CANCEL - Foreign currency option

```
{1:F01BLFLLI2XAXXX000000001}{2:I305ZZZZZZZZZZXN}{3:{108::<ORDER-NR>/3XXXX/C}}{4:
:15A:
:20:<ORDER-NR>/3XXXX/C>
:21:NEW
:22:NEW/BLFLHH1234BLFL2X
:23:BUY/CALL/E/AUD
:82A:BLFLLI2X
:87A:XXXXXXXXXXXX
:30:230908
:31G:230908/1500/TOKYO
:31E:230908
:26F:PRINCIPAL
:32B:AUD154766,94
:36:0,648
:33B:USD100288,98
:37K:AUD0,0048372734
:34P:123456AUD748,65
:57A:BLFLLI2X
:15B:
:22L:ESMA
:22M:A1B2C3D4E5F6G7H8I9J0
:22N:LI123456789
-}
```

### 4.3 MT320 – Fixed Load/Deposit Confirmation

Following transactions are notified with Swift Message Type MT320:

- Time Deposit
- Fixed Advance
- Fiduciary Fixed Term

Following events trigger a MT320-Message:

- New Confirmation (NEWT)
- Maturity (MATU)
- Cancellation (CANC) <sup>1</sup>

Transactions (Capital and Interests) are notified with Customer Statements (MT940 or MT950).

For a renewal of a money market contract the old contract is going to be expired first and then a new transaction is notified with the MT320 message NEWT.

<sup>1</sup> Cancellations: if the message for the original transaction has been sent already, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

#### 4.3.1 Example – MT320 NEWT – New Time Deposit

```
{1:F01BLFLLI2XAXXX000000001}{2:I320ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/1XXXXXX
:22A:NEWT
:94A:BILA
:22B:CONF
:22C:<COMMON REFERENCE>
:21N:ZZZZZ
:82A:BLFLLI2X
:87A:
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:15B:
:17R:B
:30T:20120621
:30V:20120625
:30P:20130625
:32B:EUR250000,
:30X:20130625
:34E:NEUR2500,
:37G:N1,
:14D:AFI/365
:15C:
:57A:/<ACCOUNT-NR DEBIT>
BLFLLI2X
:15D:
:57A:BLFLLI2X
:15G:
:37L:
:33B:EUR-2500,
:15H:
:24D:PHON
:72:/DEALTYPE/TIME DEPOSIT
//BOOK-DATE:20120621/PREP:20120622
//INTRACC:<INTEREST CREDIT ACCOUNT>
-)
```

#### 4.3.2 Example - MT320 NEWT – New Fixed Advance

```
{1:F01BLFLLI2XAXXX000000001}{2:I320ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/1XXXXXX
:22A:NEWT
```

```

:94A:BILA
:22B:CONF
:22C:<COMMON REFERENCE>
:21N:ZZZZZ
:82A:BLFLLI2X
:87A:
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:15B:
:17R:B
:30T:20120630
:30V:20120901
:30P:20121201
:32B:CHF200000,
:34E:CHF
:37G:1,125
:14D:ACT/360
:15C:
:57A:/<ACCOUNT-NR DEBIT>
BLFLLI2X
:15D:
:57A:BLFLLI2X
:15H:
:24D:PHON
:72:/DEALTYPE/FIXED LOAN
//BOOK-DATE:20120621/PREP:20120622
-}

```

#### 4.3.3 Example - MT320 NEWT – New Fiduciary Fixed Term

```

{1:F01BLFLLI2XAXXX000000001}{2:I320ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/1XXXXXX
:22A:NEWT
:94A:BILA
:22B:CONF
:22C:<COMMON REFERENCE>
:21N:ZZZZZ
:82A:BLFLLI2X
:87A:
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:15B:
:17R:B
:30T:20120621
:30V:20120625
:30P:20121126
:32B:CHF250000,
:30X:20121126
:34E:CHF0,
:37G:0,
:14D:ACT/360
:15C:
:57A:/<ACCOUNT-NR DEBIT>
BLFLLI2X
:15D:
:57A:BLFLLI2X
:15H:
:24D:PHON
:72:/DEALTYPE/FIDUCIARY FIX TERM
//COMMISSION:CHF534.72
//BOOK-DATE:20120621/PREP:20120622
//INTRACC:<INTEREST CREDIT ACCOUNT>
-}

```

#### 4.3.4 Example - MT320 CANC – Cancellation Time Deposit

```

{1:F01BLFLLI2XAXXX000000001}{2:I320ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXX/C}}{4:
:15A:
:20:/<ORDER-NR>/1XXXX/C
:21:/<ORDER-NR>/1XXXXXX
:22A:CANC
:94A:BILA
:22B:CONF
:22C:<COMMON REFERENCE>
:21N:ZZZZZ
:82A:BLFLLI2X
:87A:
:83J:/ACCT//<PORTFOLIO-NR>/NAME/LGT
:15B:

```

```

:17R:B
:30T:20120621
:30V:20120625
:30P:20130625
:32B:EUR250000,
:30X:20130625
:34E:NEUR2500,
:37G:N1,
:14D:AFI/365
:15C:
:57A:/<ACCOUNT-NR DEBIT>
BLFLLI2X
:15D:
:57A:BLFLLI2X
:15G:
:37L:
:33B:EUR-2500,
:15H:
:24D:PHON
:72:/DEALTYPE/TIME DEPOSIT
//BOOK-DATE:20120621/PREP:20120622
//INTRACC:<INTEREST CREDIT ACCOUNT>
-}

```

#### 4.3.5 TAG 72

TAG 72 contains special information which are not handled in MT320-Standard TAGs

Field	Value	Description
/DEALTYPE/	TIME DEPOSIT	Transaction Type = Time Deposit
/DEALTYPE/	FIDUCIARY FIX TERM	Transaction Type = Fiduciary Fix Term
/DEALTYPE/	FIXED LOAN	Transaction Type = Fixed Loan
//COMMISSION:		Shows possible Commission-Amount and Currency (only for Fiduciary Fix Term)
//BOOK-DATE:		Booking date of Order
/PREP:		Advice date
//INTRACC:		Interest credit account

Tab. 5: TAG 72 / MT320

#### 4.4 MT330 – Call/Notice Loan/Deposit Confirmation (Fiduciary Calls)

Money Market Call and Fiduciary Call with variable duration. Following transactions are notified with Swift Message Type MT350:

- Money Market Call
- Fiduciary Call

Following events trigger a MT330-Message:

- Money Market Call: New Confirmation (NEWT / CONF)
- Money Market Call: Increase / Decrease (NEWT / CHNG)
- Money Market Call: Redemption (NEWT / SETT)
- Money Market Call: Cancellation (CANC / CONF) <sup>1</sup>
- Fiduciary Call: New Confirmation (NEWT / CONF)
- Fiduciary Call: Increase / Decrease (NEWT / CHNG)
- Fiduciary Call: Interest Rate Change / Correction (NEWT / CINT)
- Fiduciary Call: Redemption (NEWT / SETT)
- Fiduciary Call: Cancellation (CANC / CONF) <sup>1</sup>

<sup>1</sup> Cancellations: if the message for the original transaction has been sent already, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

##### 4.4.1 Example - MT330 NEWT – New Confirmation - Money Market Call

```
{1:F01BLFLLI2XAXXX000000001}{2:I330ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/1XXXXXX
:21:NONE
:22A:NEWT
:94A:BILA
:22B:CHNG
:22C:<COMMON REFERENCE>
:21N:12226
:82A:BLFLLI2X
:87A:
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:15B:
:17R:B
:30T:20091009
:30V:20090930
:38A:2
:32B:EUR343005,1
:32H:NEUR150000,
:37G:0,125
:14D:AFI/365
:15C:
:57A:/<ACCOUNT-NR DEBIT>
BLFLLI2X
:15D:
:57A:BLFLLI2X
:15H:
:24D:PHON
:72:/DEALTYPE/CALL MONEY
//INTERESTRATE:.125
//FEES:EUR10.25
//VAT:EUR1.25
//BOOK-DATE:20091009/PREP:20091010
//INTRACC:<INTEREST CREDIT ACCOUNT>
-}
```

##### 4.4.2 Example - MT330 NEWT – Increase - Money Market Call

```
{1:F01BLFLLI2XAXXX000000001}{2:I330ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/1XXXXXX
:21:NONE
:22A:NEWT
:94A:BILA
:22B:CHNG
:22C:<COMMON REFERENCE>
:21N:12226
:82A:BLFLLI2X
:87A:
```



```

:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:15B:
:17R:B
:30T:20091009
:30V:20090930
:38A:2
:32B:EUR343005,1
:32H:NEUR150000,
:37G:0,125
:14D:AFI/365
:15C:
:57A:/ACCOUNT-NR DEBIT>
BLFLLI2X
:15D:
:57A:BLFLLI2X
:15H:
:24D:PHON
:72:/DEALTYPE/CALL MONEY
//INTERESTRATE:.125
//FEES:EUR10.25
//VAT:EUR1.25
//BOOK-DATE:20091009/PREP:20091010
//INTRACC:<INTEREST CREDIT ACCOUNT>
-}

```

#### 4.4.3 Example - MT330 NEWT – Increase - Money Market Call

```

{1:F01BLFLLI2XAXXX000000001}{2:I330ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/1XXXXXX
:21:NONE
:22:NEWT
:94A:BILA
:22B:SETT
:22C:<COMMON REFERENCE>
:21N:52511
:82A:BLFLLI2X
:87A:
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:15B:
:17R:B
:30T:20091014
:30V:20091015
:38A:2
:32H:CHF5320000,
:30X:20091015
:34E:CHF409,88
:37G:0,1875
:14D:AFI/365
:15C:
:57:/<ACCOUNT-NR CREDIT>
BLFLLI2X
:15D:
:57A:BLFLLI2X
:15H:
:24D:PHON
:72:/DEALTYPE/CALL MONEY
//INTERESTRATE:.1875
//BOOK-DATE:20091014/PREP:20091015
//INTRACC:<INTEREST CREDIT ACCOUNT>
-}

```

#### 4.4.1 Example - MT330 CANC - Cancellation Money Market Call

```

{1:F01BLFLLI2XAXXX000000001}{2:I330ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXX/C}}{4:
:15A:
:20:<ORDER-NR>/1XXXX/C
:21:<ORDER-NR>/1XXXXXX
:22A:CANC
:94A:BILA
:22B:CONF
:22C:0125BLFL2X
:21N:LI.192625
:82A:BLFLLI2X
:87A:
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:15B:
:17R:B
:30T:20120621

```

```

:30V:20120622
:38A:2
:32B:EUR5000000,
:37G:0,125
:14D:AFI/365
:15C:
:57: /<ACCOUNT-NR CREDIT>
BLFLLI2X
:15D:
:57A:BLFLLI2X
:15H:
:24D:PHON
:72:/DEALTYPE/CALL MONEY
//INTERESTRATE:.125
//BOOK-DATE:20120621
//INTRACC:<INTEREST CREDIT ACCOUNT>
-}

```

#### 4.4.2 TAG 72

TAG 72 contains special information which is not handled in MT330-Standard TAGs:

Field	Value	Description
/DEALTYPE/	CALL MONEY	Transaction Type = Money Market Call
/DEALTYPE/	FIDUCIARY CALL	Transaction Type= Fiducary Call
//INTERESTRATE:		Shows actual Interest Rate of Call Money / Fiduciary Call
//COMMISSION:		Shows the percentage of possible commissions (only for Fiduciary Call)
//FEES:		Shows possible Fee-Amount and Currency
//VAT:		Shows possible Tax-Amount and Currency
//BOOK-DATE		Booking date of Order
/PREP:		Advice date
//INTRACC:		Interest credit account

Tab. 6: TAG 72 / MT330

#### 4.5 MT350 – Advice of Loan/Deposit Interest Payment Confirmation

Following transactions are notified with Swift Message Type MT350:

- Interest Payment - Money Market Call
- Interest Payment - Fiduciary Call

Following events trigger a MT350-Message:

- New Advice (ADVC)
- Cancellation (CANC) <sup>1</sup>

<sup>1</sup> Cancellations: If the message for the original transaction has been already sent, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

##### 4.5.1 Example - MT350 ADVC – Interest Payment –Money Market Call

```
{1:F01BLFLLI2XAXXX000000001}{2:I330ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXX}}{4:
:15A:
:20:<ORDER-NR>/1XXXX
:22A:ADVC
:22C:0153BLFL2X
:21N:LI.671929
:82A:BLFLLI2X
:87A:
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:72:/DEALTYPE/CALL MONEY
//BOOK-DATE:20190329/PREP:20190329
:15B:
:30G:20190109/20190329
:32B:USD1183500,
:30V:20190329
:34B:USD4193,97
:37M:1,53
:14D:AFI/365
:15C:
:57J:/ABIC/UKWN
/ACCT/<ACCOUNT-NR DEBIT>/NAME/LGT
-}
```

##### 4.5.2 Example - MT350 CANC – Interest Payment –Money Market Call

```
{1:F01BLFLLI2XAXXX000000001}{2:I330ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXX/C}}{4:
:15A:
:20:/<ORDER-NR>/1XXXX/C
:21:/<ORDER-NR>/1XXXXXX
:22A:CANC
:22C:0015BLFL2X
:21N:12233
:82A:BLFLLI2X
:87A:
:83J:/ACCT/<PORTFOLIO-NR>/NAME/LGT
:72:/DEALTYPE/CALL MONEY
//BOOK-DATE:20180629/PREP:20180802
:15B:
:30G:20180329/20180629
:32B:GBP52000,
:30V:20180629
:34B:GBP20,26
:37M:0,15
:14D:AFI/365
:15C:
:57J:/ABIC/UKWN
/ACCT/<ACCOUNT-NR DEBIT>/NAME/LGT
-}
```

#### 4.5.3 TAG 72

TAG 72 contains special information which is not handled in MT350-Standard TAGs:

<b>Field</b>	<b>Value</b>	<b>Description</b>
/DEALTYPE/	CALL MONEY	Transaction Type = Money Market Call
/DEALTYPE/	FIDUCIARY CALL	Transaction Type = Fiducary Call
//COMMISSION:		Shows the percentage of possible commissions (only for Fiduciary Call)
//FEES:		Shows possible Fee-Amount and Currency
//VAT:		Shows possible Tax-Amount and Currency
//BOOK-DATE:		Booking date of Order
/PREP:		Advice date

Tab. 7: TAG 72 / MT350

#### 4.6 MT515 – Client Confirmation of Purchase or Sale (Stex)

Following Stock Exchange transactions are notified with Swift Message Type MT515:

- Purchase or Sale / Full Execution (Message sent after booking)
- Purchase or Sale/ Partial Execution (Message sent in the evening when creating the follow-up orders)

Following Events trigger a MT515-Message:

- New Confirmation (NEWT)
- Cancellation (CANC) <sup>1</sup>

<sup>1</sup> Cancellations: if the message for the original transaction has been sent already, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

##### 4.6.1 Example - MT515 NEWT – New Purchase

```
{1:F01BLFLLI2XAXXX000000001}{2:I515ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXX}}{4:
:16R:GENL
:20C::SEME//<ORDER-NR>/1XXXXX
:23G:NEWM
:98C::PREP//20120418141026
:22F::TRTR//TRAD
:16R:LINK
:20C::TRRF//<ORDER-NR>
:16S:LINK
:16S:GENL
:16R:PAFILL
:36B::PAFI//FAMT/10000,
:90A::DEAL//PRCT/98,869
:98C::TRAD//20120418135346
:16S:PAFILL
:16R:CONFDET
:98A::TRAD//20120418
:98A::SETT//20120423
:90A::DEAL//PRCT/98,869
:99A::DAAC//299
:22H::BUSE//SELL
:22H::PAYM//APMT
:11A::FXIS//EUR
:16R:CONFPRTY
:95P::AFFM//BLFLLI2X
:97A::SAFE//<PORTFOLIO-NR>
:97A::CASH//<ACCOUNT-NR>
:98C::PROC//20120418135346
:70E::DECL//EXCH/POS-DEP/EUR/CHF/1.202154
//BOOK-DATE:20120418/PREP:20120419
:16S:CONFPRTY
:36B::CONF//FAMT/10000,
:35B:ISIN FR0010915116
PEUGEOT SA
5.625 2010-29.06.2015 EMTN
:16S:CONFDET
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::DEAG//BLFLLI2X
:97A::SAFE//<PORTFOLIO-NR>
:16S:SETPRTY
:16R:CSHPRTY
:95P::ACCW//BLFLLI2X
:97A::CASH//<ACCOUNT-NR>
:16S:CSHPRTY
:16R:AMT
:19A::DEAL//EUR9886,9
:98A::VALU//20120423
:16S:AMT
:16R:AMT
:19A::SETT//EUR10237,79
:98A::VALU//20120423
:16S:AMT
:16R:AMT
:19A::ACRU//EUR459,53
:98A::VALU//20120423
:16S:AMT
:16R:AMT
```

```

:19A::STAM//NEUR15,52
:98A::VALU//20120423
:16S:AMT
:16R:AMT
:19A::LOCO//NEUR93,12
:98A::VALU//20120423
:16S:AMT
:16S:SETDET
-}

```

#### 4.6.2 Example - MT515 CANC – Cancellation Purchase

```

{1:F01BLFLLI2XAXXX000000001}{2:I515ZZZZZZZZZZXN}{3:{108<ORDER-NR>/1XXX/C}}{4:
:16R:GENL
:20C::SEME//<ORDER-NR>/1XXX/C
:23G:CANC
:98C::PREP//20120120103818
:22F::TRTR//TRAD
:16R:LINK
:20C::TRR//<ORDER-NR>
:16S:LINK
:16R:LINK
:20C::PREV//<ORDER-NR>/1XXXXX
:16S:LINK
:16S:GENL
:16R:PAFILL
:36B::PAFI//UNIT/100,
:90B::DEAL//ACTU/CHF18,
:98C::TRAD//20120120103312
:16S:PAFILL
:16R:CONFDET
:98A::TRAD//20120120
:98A::SETT//20120125
:90B::DEAL//ACTU/CHF18,
:22H::BUSE//BUYI
:22H::PAYM//APMT
:11A::FXIB//CHF
:16R:CONFPRTY
:95P::AFFM//BLFLLI2X
:97A::SAFE//<PORTFOLIO-NR>
:97A::CASH//<KONTO-NR>
:98C::PROC//20120120103312
:70E::DECL//BOOK-DATE:20120125/PREP:20120419
:16S:CONFPRTY
:36B::CONF//UNIT/100,
:35B:ISIN CH0012221716
ABB LTD
NAMEN-AKTIE
:16S:CONFDET
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::REAG//BLFLLI2X
:97A::SAFE//<PORTFOLIO-NR>
:16S:SETPRTY
:16R:CSHPRTY
:95P::ACCW//BLFLLI2X
:97A::CASH//<ACCOUNT-NR>
:16S:CSHPRTY
:16R:AMT
:19A::DEAL//CHF1800,
:98A::VALU//20120125
:16S:AMT
:16R:AMT
:19A::SETT//CHF1892,85
:98A::VALU//20120125
:16S:AMT
:16R:AMT
:19A::STAM//CHF1,35
:98A::VALU//20120125
:16S:AMT
:16R:AMT
:19A::LOCO//CHF90,
:98A::VALU//20120125
:16S:AMT
:16R:AMT
:19A::STEX//CHF1,5
:98A::VALU//20120125
:16S:AMT
:16S:SETDET

```

#### 4.6.3 TAG 70E

TAG 70E contains special information which is not handled in MT515-Standard TAGs:

Field	Value	Description
DECL//	EXCH/POS-DEP	Shows the foreign exchange rate when position-currency and portfolio-currency is different .
//BOOK-DATE:		Booking date of Order
//PREP:		Advice date
//INSTRUMENT-TYPE:	BOND	Bonds
	SHARE	Shares
	FUT	TOFF-Futures
	FUND	Funds
	SWAP	Swaps
	COMDTY	Commodities
	OPT	TOFF-Options
	STRUCT	Structured products
	IDX	Indices / Baskets
	CASH	Cash
	OTH	Others
//CONTRACT-SIZE:		Contract Size of TOFF-Options
//PRE		The Estimated ISIN (Est.) and the order value of the corresponding prepayment order (PRE) are delivered Example: //PRE/Est.LI123456789/100000.

Tab. 8: TAG 70E / MT515

#### 4.6.4 TAG 19A

TAG 19A shows cost, fees and taxes separately. Following table shows actual mapping of cost, fees or taxes to codes.

Fees and Taxes	Code	Code-Description
Broker Commission	EXEC	Executing Broker's Commission
Broker Discount	LOCO	Local Broker's Commission
stex charges	STEX	Stock Exchange Tax
stex charges (LOT)	STEX	Stock Exchange Tax
foreign fees & levy	CHAR	Charges/Fees
foreign stamp duty	STAM	Stamp Duty
Withholding tax on accrued interest (JPN)	WITH	Withholding Tax
stex commission the client pays to the bank (Courtage)	LOCO	Local Broker's Commission
SWX Turnover Fee	STEX	Stock Exchange Tax
SWX Turnover Fee (scoach)	OTHR	Other Amount
VirtX Charges	OTHR	Other Amount
Swiss Stamp Tax duty	STAM	Stamp Duty

Third Party Tax UK	STAM	Stamp Duty
Swiss Issue Stamp Tax	STAM	Stamp Duty
Commission DCD	TRAN	Transfer Tax
Fund Charges	CHAR	Charges/Fees
Equalization Factor	EQUL	Equalisation Deposit
Fund Other Charges	OTHR	Other Amount
Fund Dilution Fee	SUBS	Subscription Interest
Fund Rebate	CREB	Rebate/Bonification
Fund Premium	PRMA	Premium Amount
Fund Redemption Commission	BAKL	Backload Fees
Issue Commission	ENTF	Entrance Fee
Conversion Commission	REGF	Regulatory Fees
Ticket Fee	STAM	Stamp Duty
Fund Transaction Fee	EXEC	Executing Broker's Commission
Expected Refund	REFD	Expected Refund
Additional Brokerage	SHIP	Shipping
EU tax on interest earnings	EUTR	EU tax on interest earnings
SWX Reporting Fee	MACO	Matching/Confirmation Fee
Brokerage Fee Toff-Products	LOCO	Local Broker's Commission
French Transaction Tax	LADT	Local Tax (Country specific 1)
Italian Transaction Tax	LIDT	Local Tax (Country specific 2)
Execution difference	OTHR	Other Amount
GST Tax	VATA	Value-Added Tax

Tab. 9 Codes for TAG 19A / MT515



#### 4.7 MT544 / MT546 – Client Confirmation of Receive/Delivery Free (Settlement)

Following Settlement transactions are notified with Swift Message Type MT544 and MT546:

MT544: Receive Free of Payment (Receive from outside LGT or from another LGT Avaloq Instance)

MT546: Delivery Free of Payment (Receive from outside LGT or from another LGT Avaloq Instance)

Portfolio transfers within the same LGT Bank instances are not delivered by MT544 / MT566 but by MT599.

Following Events trigger a MT544/MT546-Message:

- New Confirmation (NEWT)
- Cancellation (CANC) <sup>1</sup>

<sup>1</sup> Cancellations: if the message for the original transaction has been sent already, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

##### 4.7.1 Example - MT544 NEWT – New Receive Free of Payment

```
{1:F01BLFLLI2XAXXX000000001}{2:I544ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/2XXXXXX}}{4:
:16R:GENL
:20C::SEME//<ORDER-NR>/2XXXXXX
:23G:NEWM
:16R:LINK
:20C::TRRF//<ORDER-NR>
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::ESET//20120730
:98A::SETT//20120730
:98A::TRAD//20120726
:35B:ISIN CH0024899483
UBS AG
NAMEN-AKTIEN
:16R:FIA
:11A::DENO//CHF
:16S:FIA
:70E::FXIN
:16S:TRADDET
:16R:FIAC
:36B::ESTT//UNIT/10,
:97A::SAFE//<PORTFOLIO-NR>
:16S:FIAC
:16R:SETDET
:16R:SETPRTY
:95P::DEAG//XXXXXXXXXXXX
:16S:SETPRTY
:16S:SETDET
-}
```

##### 4.7.2 Example - MT544 CANC – New Receive Free of Payment

```
{1:F01BLFLLI2XAXXX000000001}{2:I544ZZZZZZZZZZXN}{3:{108:O/<ORDER-NR>/1XXXX/C}}{4:
:16R:GENL
:20C::SEME//<ORDER-NR>/1XXXX/C
:23G:CANC
:16R:LINK
:20C::TRRF//<ORDER-NR>
:16S:LINK
:16R:LINK
:20C::PREV//<ORDER-NR>/1XXXXXX
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::ESET//20120730
:98A::SETT//20120730
:98A::TRAD//20120726
:35B:ISIN CH0024899483
UBS AG
```

```

NAMEN-AKTIE
:16R:FIA
:11A::DENO//CHF
:16S:FIA
:70E::FXIN
:16S:TRADDET
:16R:FIAC
:36B::ESTT//UNIT/10,
:97A::SAFE//<PORTFOLIO-NR>
:16S:FIA
:16R:SETDET
:16R:SETPRTY
:95P::DEAG//XXXXXXXXXXXX
:16S:SETPRTY
:16S:SETDET
-}

```

#### 4.7.3 Example - MT546 NEWT – New Delivery Free of Payment

```

{1:F01BLFLLI2XAXXX000000001}{2:I546ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/2XXXXXX}}{4:
:16R:GENL
:20C::SEME//<ORDER-NR>/2XXXXXX
:23G:NEWM
:16R:LINK
:20C::TRRF//<ORDER-NR>
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::ESET//20120730
:98A::SETT//20120730
:98A::TRAD//20120726
:35B:ISIN CH0117441565
BANK OF AMERICA CORP
3.0 2010-23.12.2016 EMTN
:16R:FIA
:11A::DENO//CHF
:16S:FIA
:70E::FXIN
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/300000,
:97A::SAFE//<PORTFOLIO-NR>
:16S:FIA
:16R:SETDET
:16R:SETPRTY
:95P::REAG//XXXXXXXXXXXX
:16S:SETPRTY
:16S:SETDET
-}

```

#### 4.7.4 Example - MT546 CANC – Cancellation Delivery Free of Payment

```

{1:F01BLFLLI2XAXXX000000001}{2:I546ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXX/C}}{4:
:16R:GENL
:20C::SEME//<ORDER-NR>/1XXXX/C
:23G:CANC
:16R:LINK
:20C::TRRF//<ORDER-NR>
:16S:LINK
:16R:LINK
:20C::PREV//<ORDER-NR>/1XXXXXX
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::ESET//20120730
:98A::SETT//20120730
:98A::TRAD//20120726
:35B:ISIN CH0117441565
BANK OF AMERICA CORP
3.0 2010-23.12.2016 EMTN
:16R:FIA
:11A::DENO//CHF
:16S:FIA
:70E::FXIN
:16S:TRADDET
:16R:FIAC
:36B::ESTT//FAMT/300000,
:97A::SAFE//<PORTFOLIO-NR>
:16S:FIA

```

```
:16R:SETDET  
:16R:SETPRTY  
:95P::REAG//XXXXXXXXXXXXX  
:16S:SETPRTY  
:16S:SETDET  
-}
```

#### 4.8 MT566 – Corporate Action Confirmation

Following Events trigger a MT566-Message:

- New Confirmation (NEWT)

##### 4.8.1 Example – MT566 NEWM – New Corporate Action Confirmation

```
{1:F01BLFLLI2XAXXX000000001}{2:I566ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXXX}}{4:
:16R:GENL
:20C::CORP//<ORDER-NR>
:20C::SEME//<ORDER-NR>/1XXXXXX
:23G:NEWM
:22F::CAEV//SHPR
:98C::PREP//20100107000000
:16S:GENL
:16R:USECU
:97A::SAFE//<PORTFOLIO-NR>
:35B:<ASSET>
:16R:FIA
:11A::DENO//USD
:16S:FIA
:93B::CONB//UNIT/1500,
:16S:USECU
:16R:CADETL
:98A::XDTE//20091223
:98A::RDTE//20091228
:98A::PAYD//20100106
:16S:CADETL
:16R:CACONF
:13A::CAON//001
:22F::CAOP//CASH
:92A::TAXR//15,
:16R:CASHMOVE
:22H::CRDB//CRED
:97A::CASH//<ACCOUNT-NR>
:19B::UNFR//USD295,2
:19B::GRSS//USD295,2
:19B::WITF//USD44,28
:19B::PSTA//USD250,92
:98A::POST//20100106
:98A::VALU//20100106
:16S:CASHMOVE
:16S:CACONF
:16R:ADDINFO
:70E::ADTX//BOOK-DATE:20130122/PREP:20120419
:16S:ADDINFO
-}
```

##### 4.8.2 TAG 22F

Following Transactions are notified with Swift Message Type MT566 with Qualifier CAEV in TAG 22F:

Code	Description
ATTI	Attachment
BIDS	Repurchase Offer/Issuer Bid/Reverse Rights
BONU	Bonus Issue/Capitalisation Issue
BPUT	Put Redemption
BRUP	Bankruptcy
CAPD	Capital Distribution
CAPG	Capital Gains Distribution
CAPI	Capitalisation
CERT	Non-US TEFRA D Certification
CHAN	Change
CLSA	Class Action/Proposed Settlement

CMET	Court Meeting
CONS	Consent
CONV	Conversion
COOP	Company Option
DECR	Decrease in Value
DETI	Detachment
DFLT	Bond Default
DLST	Trading Status: Delisted
DRAW	Drawing
DRCA	Cash Distribution From Non-Eligible Securities Sales
DRIP	Dividend Reinvestment
DSCL	Disclosure
DTCH	Dutch Auction
DVCA	Cash Dividend
DVOP	Dividend Option
DVSC	Scrip Dividend/Payment
DVSE	Stock Dividend
EXOF	Exchange
EXRI	Call on Intermediate Securities
EXTM	Maturity Extension
EXWA	Warrant Exercise
INCR	Increase in Value
INTR	Interest Payment
LIQU	Liquidation Dividend/Liquidation Payment
MCAL	Full Call/Early Redemption
MEET	Annual General Meeting
MRGR	Merger
ODLT	Odd Lot Sale/Purchase
OMET	Ordinary General Meeting
OTHR	Other Event
PARI	Pari-Passu
PCAL	Partial Redemption With Reduction of Nominal Value
PDEF	Partial Defeasance/Pre-Funding
PINK	Pay In Kind
PLAC	Place of Incorporation
PPMT	Instalment Call
PRED	Partial Redemption Without Reduction of Nominal Value
PRII	Interest Payment with Principal
PRIO	Priority Issue

REDM	Final Maturity
REDO	Redenomination
REMK	Remarketing Agreement
RHDI	Intermediate Securities Distribution
RHTS	Rights Issue/Subscription Rights/Rights Offer
SHPR	Shares Premium Dividend
SMAL	Smallest Negotiable Unit
SOFF	Spin-Off
SPLF	Stock Split/Change in Nominal Value/Subdivision
SPLR	Reverse Stock Split/Change in Nominal Value
SUSP	Trading Status: Suspended
TEND	Tender/Acquisition/Takeover/Purchase Offer/Buyback
TREC	Tax Reclaim
WRTH	Worthless
WTRC	Withholding Tax Relief Certification
XMET	Extraordinary or Special General Meeting

Tab. 10 supported Codes in TAG 22F / MT566

#### 4.8.3 TAG 70E

TAG 70E with qualifier ADTX contains special information which is not handled in MT566-Standard TAGs:

Field	Value	Description
EXCH/POS-DEP		Shows the foreign exchange rate when position-currency and portfolio-currency is different .
//BOOK-DATE:		Booking date of Order
/PREP:		Advice date
//PORTFOLIO :		Portfolio Number

Tab. 11: TAG 70E / MT566

#### 4.8.4 TAG 19B

Following table shows LGT specific mapping for the tag 19B.

Qualifier	Example	Description
TAXR	TAXR/EUR71,52	Withholding tax
WITL	WITL/EUR59,6	Final withholding tax (LI/AT)
TRXC	TRXC/EUR18,4	Reclaimable taxes (double tax treaty)

Tab. 12: TAG 19B (LGT specific) / MT566

#### 4.9 MT599 – Information to medium-term notes and securities transfers

Message MT599 includes information about Bank Issued Medium Term Note and securities transfers within LGT Bank.

##### 4.9.1 TAG 79:

<b>//PORTFOLIO:</b>	<b>Portfolio number</b>
//ACC-NR:	Account Number
//TRX-DATE:	Transaction Date
//BOOK-DATE:	Booking Date
//VAL-DATE:	Value Date
//BUS-TYPE:	Business Type (Description in value table / BusinessType)
//TRANSACTION-TYPE:	Type of transaction (Description in value table / TRANSACTION-TYPE)
<b>BIMTN (Bank Issued Medium Term Note)</b>	
//BIMTN-NR:	Bank Issued Medium Term Note Contract Number
//CURRENCY:	Currency
//AMOUNT:	Amount
//XRATE:	Exchange Rate
<b>XFER (Securities transfer within LGT)</b>	
//ISIN:	ISIN of instrument
//VALOR:	Valor of instrument
//BOOK:	CREDIT / DEBIT
//QTY:	Quantity / Nominal

Tab. 13: TAG 79 / MT599

##### 4.9.2 Example - BIMTN

```
{1:F01BLFLLI2XAXXX000000001}{2:I599ZZZZZZZZZZXN}{3:{ 108:<ORDER-NR>/1XXXXX}}{4:
:20:12345678
:79://PORTFOLIO:<PORTFOLIO-NR>
//ACC-NR:0012345625
//TRX-DATE:20140422
//BOOK-DATE:20140422
//VAL-DATE:20140422
//BUS-TYPE:BIMTN
//TRANSACTION-TYPE:BIMTN-SELL-DEMAT
//BIMTN-NR:12345
//CURRENCY:CHF
//AMOUNT:100000
//XRATE:1
-}
```

##### 4.9.3 Example– XFER – Debit

```
{1:F01BLFLLI2XAXXX000000001}{2:I599ZZZZZZZZZZXN}{3:{ 108:<ORDER-NR>/D1XXXX}}{4:
:20:12345678/D1
:79://PORTFOLIO:<PORTFOLIO-NR>
//ACC-NR:0012345635
//TRX-DATE:20140422
//BOOK-DATE:20140422
//VAL-DATE:20140424
//BUS-TYPE:XFER
//TRANSACTION-TYPE:XFER-BANK
//ISIN:BMG1234A1234
//VALOR:912345
//BOOK:DEBIT
//QTY:1000
-}
```

#### 4.9.4 Example XFER – Credit

```
{1:F01BLFLLI2XAXXX000000001}{2:I599ZZZZZZZZXN}{3:{ 108:<ORDER-NR>/C1XXXX}}{4:
:20:12345678/C1
:79://PORTFOLIO:<PORTFOLIO-NR>
//ACC-NR:0012345635
//TRX-DATE:20140422
//BOOK-DATE:20140422
//VAL-DATE:20140424
//BUS-TYPE:XFER
//TRANSACTION-TYPE:XFER-BANK
//ISIN:BMG1234A1234
//VALOR:912345
//BOOK:Credit
//QTY:1000
```

#### 4.9.5 Example XFER- Cancellation Debit

```
{1:F01BLFLLI2XAXXX000000001}{2:I599ZZZZZZZZXN}{3:{ 108:<ORDER-NR>/D1XX/C}}{4:
:20:12345678/D1XX/C
:79://PORTFOLIO:<PORTFOLIO-NR>
//ACC-NR:0012345635
//TRX-DATE:20140422
//BOOK-DATE:20140422
//VAL-DATE:20140424
//BUS-TYPE:XFER
//TRANSACTION-TYPE:XFER-BANK
//ISIN:BMG1234A1234
//VALOR:912345
//BOOK:DEBIT
//QTY:1000
-}
```



#### 4.10 MT600 – Commodity Confirmation

Following transactions are notified with Swift Message Type MT600:

- Forex Spot Commodity
- Forex Forward Commodity
- Forex Swap Commodity

Following events trigger a MT600-Message:

- New Confirmation (NEWT)
- Cancellation (CANC) <sup>1</sup>

<sup>1</sup> Cancellations: if the message for the original transaction has been already sent, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

##### 4.10.1 Field Description

TAG	Example	Description
:83J:	/ACCT/121212007	Portfolio
	/NAME/LGT	
:30:	120905	Booking date
:26C:	/LONDON/UNALLGOLD	Identification of the commodity
:33G:	USD1610,613157	Price per piece
:72:	/DEALTYPE/FX SPOT	Transaction Type: FX Spot FX Forward FX Swap Near FX Swap Far FX Forward Maturity
	//CLIENT-BUYS:USD16000	Currency and amount of purchase
	//CLIENT-SELLS:XAU9.934	Currency and amount of sale
	//BOOK-DATE:20120905	Booking date
	/PREP:20120906	Advice Date
:15B:		Sequence for purchase of commodity
:32F:	FOZ9,934	Unit bought
:87D:	1212121202	Commodity Account
:34P:	120907USD16000,	Value date, Currency and Amount
:57D:	1212121205	Debit Account
:15C:		Sequence for sale of commodity
:32F:	FOZ10,	Unit sold
:87D:	1212121202	Commodity Account
:34R:	120907USD16241,16	Value date, Currency and Amount
:57D:	1212121205	Credit Account

Tab. 14 Field Description / MT600

#### 4.10.2 Example - MT600 NEW – New Forex Spot

```
{1:F01BLFLLI2XAXXX000000001}{2:I600ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/2XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/2XXXXXX
:21:NEW
:22:NEW/BLFL2X3157BLFL2X
:94A:BILA
:82A:BLFLLI2X
:87A:BLFLLI2X
:83J:/ACCT/<PORTFOLIO-NR>
/NAME/LGT
:30:120905
:26C:/LONDON/UNALLGOLD
:33G:USD1610,613157
:72:/DEALTYPE/FX SPOT
//CLIENT-BUYS:USD16000
//CLIENT-SELLS:XAU9.934
//BOOK-DATE:20120905/PREP:20120906
:15B:
:32F:FOZ9,934
:87D:<ACCOUNT-NR>
:34P:120907USD16000,
:57D:<ACCOUNT-NR>
-}
```

#### 4.10.3 Example - MT600 NEW – Cancellation Forex Spot

```
{1:F01BLFLLI2XAXXX000000001}{2:I600ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/4XXXX/C}}{4:
:15A:
:20:<ORDER-NR>/4XXXX/C
:21:<ORDER-NR>/4XXXXXX
:22:CANCEL/BLFL2X3157BLFL2X
:94A:BILA
:82A:BLFLLI2X
:87A:BLFLLI2X
:83J:/ACCT/<PORTFOLIO-NR>
/NAME/LGT
:30:120905
:26C:/LONDON/UNALLGOLD
:33G:USD1610,613157
:72:/DEALTYPE/FX SPOT
//CLIENT-BUYS:USD16000
//CLIENT-SELLS:XAU9.934
//BOOK-DATE:20120905/PREP:20120906
:15B:
:32F:FOZ9,934
:87D:<ACCOUNT-NR>
:34P:120907USD16000,
:57D:<ACCOUNT-NR>
-}
```

#### 4.10.4 TAG 72

TAG 72 contains special information which are not handled in MT600-Standard TAGs:

Field	Value	Description
/DEALTYPE/	FX SPOT	Transaction Type = FX Spot
/DEALTYPE/	FX FORWARD	Transaction Type = FX Forward
/DEALTYPE/	FX FORWARD MATURITY	Transaction Type = FX Forward Maturity
/DEALTYPE/	FX SWAP NEAR	Transaction Type = FX Swap Near
/DEALTYPE/	FX SWAP FAR	Transaction Type = Fx Swap Far
/DEALTYPE/	NDF	Transaction Type = Non Deliverable Forward
/DEALTYPE/	NDF MATURITY	Transaction Type = Non Deliverable Forward Maturity
/DEALTYPE/	RESERVATION OPEN	Transaction Type = Reservation open
/DEALTYPE/	RESERVATION CLOSE	Transaction Type = Reservation close
//CLIENT-BUYS:		Currency and Amount of Purchase

//CLIENT-SELLS:	Currency and Amount of Sale
//CONTRACT-NO:	For Forex Forward and Forex Swap only
//BOOK-DATE	Booking Date
/PREP:	Advice Date

Tab. 15: TAG 72 / MT600

#### 4.11 MT601 – Commodity Option Confirmation (Precious metal)

- Foreign currency option confirmation is notified with MT601 message.

Following events trigger a MT601-Message:

- New Confirmation (NEW)
- Cancellation (CANCEL)<sup>1</sup>

<sup>1</sup> Cancellations: If the message for the original transaction has been already sent, the cancellation will generate a new message which will be sent as well. If the cancellation takes place before the message for the original transaction has been sent, there will be no message sent at all (neither the transaction message nor the cancellation one). In such cases, a printed advice will be created anyway.

##### 4.11.1 Example: MT601 NEW – Commodity Option Confirmation

```
{1:F01BLFLLI2XAXXX000000001}{2:I601ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/1XXXXXX}}{4:
:15A:
:20:<ORDER-NR>/1XXXXXX
:21:NEW
:22:NEW/BLFLHH1234BLFL2X
:82A:BLFLLI2X
:87A:XXXXXXXXXXXX
:23:BUY/PUT/E/MANU
:30:230908
:26C:/ZUERICH/UNALLPLAT
:31G:240110/1500/TOKYO
:31E:240112
:26F:PHYSICAL
:32F:GOZ115,851
:32B:USD911,55
:33B:USD35,924506478149
:34P:230912USD4161,89
:57A:BLFLLI2X
:77H:ISDA/20140312//2002
:15B:
:22L:ESMA
:22M:A1B2C3D4E5F6G7H8I9J0
:22N:LI123456789
-}
```

##### 4.11.2 Example: MT601 CANCEL - Commodity Option Confirmation

```
{1:F01BLFLLI2XAXXX000000001}{2:I601ZZZZZZZZZZXN}{3:{108:<ORDER-NR>/2XXXX/C}}{4:
:15A:
:20:<ORDER-NR>/2XXXX/C
:21:NEW
:22:NEW/BLFLHH1234BLFL2X
:82A:BLFLLI2X
:87A:XXXXXXXXXXXX
:23:BUY/PUT/E/MANU
:30:230908
:26C:/ZUERICH/UNALLPLAT
:31G:240110/1500/TOKYO
:31E:240112
:26F:PHYSICAL
:32F:GOZ115,851
:32B:USD911,55
:33B:USD35,924506478149
:34P:230912USD4161,89
:57A:BLFLLI2X
:77H:ISDA/20140312//2002
:15B:
:22L:ESMA
:22M:A1B2C3D4E5F6G7H8I9J0
:22N:LI123456789
-}
```

## 4.12 MT535 – Statement of Holdings

MT535 – Statement of Holdings - is sent once a day after the EOD

### 4.12.1 Example

```
{1:F01BLFLLI2XAXXX000000001}{2:I535ZZZZZZZZZZXN}{3:{108:E/<MESSAGE-NR>}}{4:
:16R:GENL
:28E:1/ONLY
:20C::SEME//E/<MESSAGE-NR>
:23G:NEWM
:98C::PREP//20100107000107
:98A::STAT//20100106
:22F::STY//CUST
:22F::CODE//COMP
:22F::STBA//SETT
:22F::SFRE//DAIL
:97A::SAFE//<PORTFOLIO-NR>
:17B::ACTI//Y
:17B::CONS//N
:16S:GENL
:16R:SUBSAFE
:16R:FIN
:35B:ISIN AT0000714373 NAME
:16R:FIA
:11A::DENO//EUR
:16S:FIA
:90B::INDC//ACTU/EUR9,2
:94B::PRIC//LMAR/TKC
:98A::PRIC//20110715
:93B::AGGR//UNIT/13000,
:19A::HOLD//EUR119600,
:92B::EXCH//EUR/EUR/1,
:70E::HOLD//PURCHPRICE/EUR11,96
:16S:FIN
:16R:FIN
:35B:ISIN CH0011075394 NAME
:16R:FIA
:11A::DENO//CHF
:16S:FIA
:90B::INDC//ACTU/CHF235,
:94B::PRIC//LMAR/TKC
:98A::PRIC//20110715
:93B::AGGR//UNIT/650,
:19A::HOLD//EUR102752,
:92B::EXCH//CHF/EUR/1,481348
:70E::HOLD//PURCHPRICE/CHF201,35
:16S:FIN
:16R:FIN
:35B:ISIN LU0090689299 NAME
:16R:FIA
:11A::DENO//USD
:16S:FIA
:90B::INDC//ACTU/USD286,54
:94B::PRIC//LMAR/TKC
:98A::PRIC//20110715
:93B::AGGR//UNIT/800,
:19A::HOLD//EUR158918,51
:92B::EXCH//USD/EUR/1,43925
:70E::HOLD//PURCHPRICE/USD220,13
:16S:FIN
:16S:SUBSAFE
:16R:ADDINFO
:19A::HOLP//EUR2591032,98
:16S:ADDINFO
-}
```

### 4.12.2 Tag 35B

Following table shows LGT specific mapping for the tag 35B.

<b>Field</b>	<b>Example</b>	<b>Descripton</b>
/XX/Est.	:35B:/XX/Est.LU12345678 ABCDE FUND S.A. ...	Position type = Estimated Positions
/XX/	:35B:/XX/LI.12345678 MONEY MARKET CALL CH...	Position type = Money maket postions (call money investments, time deposits, fiduciary time deposits)

Tab. 16: TAG 35B / MT535

#### 4.12.3 Tag 70E

Following table shows LGT specific mapping for the tag 35B.

<b>Field</b>	<b>Example</b>	<b>Descripton</b>
HOLD	:70E::HOLD//PURCHPRICE/CHF100,05	cost price of securities position is delivered in securities currency

Tab. 17: TAG 70 / MT535

#### 4.13 MT536 – Statement of Transactions

MT536 – Statement of Transactions - is sent once a day after the EOD

##### 4.13.1 Example

```
{1:F01BLFLLI2XAXXX000000001}{2:I536ZZZZZZZZZZXN}{3:{108:E/<MESSAGE-NR>}}{4:
:16R:GENL
:28E:1/ONLY
:13A::STAT//001
:20C::SEME//E/<MESSAGE-NR>
:23G:NEWM
:69A::STAT//20130701/20130701
:22F::SFRE//DAIL
:22F::STBA//TRAD
:97A::SAFE//<PORTFOLIO-NR>
:17B::ACTI//Y
:17B::CONS//N
:16S:GENL
:16R:SUBSAFE
:16R:FIN
:35B:ISIN FR0000292278
MAGELLAN SICAV
BEARER-UNIT -C-
:93B::FIOP//UNIT/31,
:93B::FICL//UNIT/3100,
:16R:TRAN
:16R:LINK
:20C::RELA//NONREF
:16S:LINK
:16R:LINK
:20C::ASRF//O/<ORDER-NR>
:16S:LINK
:16R:TRANSDet
:36B::PSTA//UNIT/3069,
:19A::PSTA//EURO,
:22F::TRAN//CORP
:22H::REDE//RECE
:22H::PAYM//FREE
:98A::ESET//20130701
:16S:TRANSDet
:16S:TRAN
:16S:FIN
:16S:SUBSAFE
-}
```

##### 4.13.2 Tag 35B

Following table shows LGT specific mapping for the tag 35B.

Field	Example	Descripton
/XX/Est.	:35B:/XX/Est.LU12345678 ABCDE FUND S.A. ...	Position type = Estimated Positions
/XX/	:35B:/XX/LI.12345678 MONEY MARKET CALL CH...	Position type = Money maket postions (call money investments, time deposits, fiduciary time deposits)

Tab. 18: TAG 35B / MT536

#### 4.14 MT940 – Customer Statement Message

MT940 – Customer Statement Message - is sent once a day after the EOD. (only if there were transactions)

Hint: A MT940 message is initially triggered when a new account is opened or when account connections are set up for the first time. Afterwards, only MT940 messages are triggered when an account booking takes place.

##### 4.14.1 Example

```
{1:F01BLFLLI2XAXXX0000000001}{2:I940ZZZZZZZZZZXN}{3:{108:E/<MESSAGE-NR>}}{4:
:20:E/<MESSAGE-NR>/
:25:<ACCOUNT-NR>
:28C:238/1
:60F:<Balance>
:61:1001060106C145,65NMSCNONREF//O/<ORDER-NR>
AUFTRAG NR: 00000000 PAYMENT XY
:86:<Information to transaction>
:61:1001060106C25000,NMSCNONREF//O/<ORDER-NR>
AUFTRAG NR: 23000060 VERGUTUNG XY
:86:<Information to Account Owner>
:62M:<Closing Balance>
:86:PORTFOLIO:<PORTFOLIO-NR>
-}
```

##### 4.14.2 TAG 86

In TAG 86 are the following additional informations of the transaction:

Field	Value	Description
BEPA:		Payer or Beneficiary
//TYPE:		Type of transaction (Description in value table / TRANSACTION-TYPE)
//REASON:		Reason of Payment
//POS-REF:		ISIN, filled for Variation Margin orders
//PORTFOLIO-NR:		Portfolio Number
//ACCR-INTR:		Accrued Interest in Currency of the Account
//INFO:		Booking text and message of the bank for incoming payments

Tab. 19: TAG 86 / MT940

##### 4.14.3 Description of Transaction Type

The business case of a booking is identified by the transaction-type (TYPE)

A cancellation booking has the addition „-cancellation“.

Example:

```
{1:F01BLFLLI2XAXXX0000000001}{2:I940ZZZZZZZZZZXN}{3:{108:E/<MESSAGE-NR>}}{4:
:20:E/<MESSAGE-NR>
:25:<ACCOUNT-NR>
:28C:56/1
:60F:C130603CHF168,36
:61:1301010121RC67,91NMSCNONREF//O/<ORDER-NR>
:86:TYPE: PAY-cancellation
:62F:C130603CHF236,27
:64:C130603CHF236,27
:86:PORTFOLIO-NR:<PORTFOLIO-NR>
//ACCR-INTR:123,45
-}
```



#### 4.15 MT950 – Statement Message

MT950 –Statement Message is sent once a day after the EOD.

##### 4.15.1 Example

```
{1:F01BLFLLI2XAXXX000000001}{2:I950ZZZZZZZZZZXN}{3:{108:E/<MESSAGE-NR>/}}{4:
:20:E/<MESSAGE-NR>
:25:<ACCOUNT-NR>
:28C:238/1
:60F:<Balance>
:61:1001060106C145,65NMSCNONREF//O/23000071
AUFTRAG NR: 00000000 PAYMENT XY
:61:1001060106C25000,NMSCNONREF//O/23000060
AUFTRAG NR: 23000060 PAYMENT XY
:62M:<Closing Balance>
-}
```

#### 4.16 MT999 – Additional Information for MT940

In message MT999 are additional information for bookings delivered in MT940 messages. In TAG 20 is the Order-Nr. of the booking. There can be more than one MT999 message for one booking.

TAG 20: <Order-Nr.>/<Sequence-Nr.>

##### 4.16.1 TAG 79

Field	Description
<b>Alle MT999</b>	
//CANCELLATION	Identification flag for a cancellation message
//PORTFOLIO:	Portfolio Number
//ACC-NR:	Account Number
//TRX-DATE:	Transaction Date
//BOOK-DATE:	Booking Date
//VAL-DATE:	Value Date
//TRANSACTION-TYPE:	Type of transaction (Description in value table / TRANSACTION-TYPE)
<b>SPM (TRANSACTION-TYPE)</b>	
//PRICE-MODEL:	Price Model (Description in value table / Price Model)
//CURRENCY:	Currency in account currency
//NET:	Net amount in account currency
//VAT:	VAT amount in account currency
<b>LC and BC (TRANSACTION-TYPE)</b>	
//REF:	Reference of SLB contract
//ISIN:	ISIN of instrument
//VALOR:	Valor of instrument
//INSTRUMENT-CURRENCY:	Currency of instrument
//DATE-FROM:	From date
//DATE-TO:	To date
//COMMISSION:	Amount of commission

//COMM-CURRENCY:	Currency of commission
//XRATE:	Exchange Rate
<b>Fees</b>	
//FEE-TYPE:	Fee Type (Description in value table / Fees)
//CURRENCY:	Currency
//NET:	Net amount
//VAT:	VAT amount

Tab. 20: TAG 79 / MT999

#### 4.16.2 Example - SPM

```
{1:F01BLFLLI2XAXXX000000001}{2:I999ZZZZZZZZZZXN}{3:{108:E/<MESSAGE-NR>}}{4:
:20:54420387/1
:79://PORTFOLIO:<PORTFOLIO-NR>
//ACC-NR:<ACCOUNT-NR>
//TRX-DATE:20120514
//BOOK-DATE:20120514
//VAL-DATE:20120515
//TRANSACTION-TYPE:SPM
//CURRENCY:CHF
//NET:299,08
//VAT:22,73
-}
```

#### 4.16.3 Example - LC

```
{1:F01BLFLLI2XAXXX000000001}{2:I999ZZZZZZZZZZXN}{3:{108:E/<MESSAGE-NR>}}{4:
:20:64420480/1
:79://PORTFOLIO:<PORTFOLIO-NR>
//ACC-NR:<ACCOUNT-NR>
//TRX-DATE:20120514
//BOOK-DATE:20120514
//VAL-DATE:20120515
//TRANSACTION-TYPE:LC
//REF:SLC9995
//ISIN:CH0012032048
//VALOR:1203204
//INSTRUMENT-CURRENCY:CHF
//DATE-FROM:20120101
//DATE-TO:20120401
//COMMISSION:128,51
//COMM-CURRENCY:CHF
-}
```

#### 4.16.4 Example - SPM Storno

```
{1:F01BLFLLI2XAXXX000000001}{2:I999ZZZZZZZZZZXN}{3:{108:E/<MESSAGE-NR>}}{4:
:20:54420387/1
:79://CANCELLATION
//PORTFOLIO:<PORTFOLIO-NR>
//ACC-NR:<ACCOUNT-NR>
//TRX-DATE:20120514
//BOOK-DATE:20120514
//VAL-DATE:20120515
//TRANSACTION-TYPE:SPM
//CURRENCY:CHF
//NET:299,08
//VAT:22,73
-}
```

#### 4.17 Filenames /-type of SWIFT messages

##### 4.17.1 Transaction messages

The SWIFT messages are delivered in text files (.txt). The structure of the file name is standardised.

Example: 123412341\_3XXXXX\_300\_20210515\_081339.txt

Structure of the file name

Value	Number of digits	Example
LGT order number	9	123412341
Sequence number of the order	6	3XXXXX
SWIFT Message Type	3	300
Date of message creation	8	20210515 (JJJMMTT)
Time of message creation	6	081339 (HHMMSS)

Note: The individual values are separated with the underline character «\_».

When an order is cancelled, "\_C" (Cancelation) is inserted on the 15th/16th position.

Example 123412341\_4XXX\_C\_300\_20210515\_081339.txt

##### 4.17.2 Position messages

The SWIFT messages are delivered in text files (.txt). The structure of the file name is standardised.

Example: E\_1112223321XXXX\_535\_20210731\_105530.txt

Structure of the file name

Value	Number of digits	Example
LGT order number	1	E
LGT message number	14	1112223321XXXX
SWIFT Message Type	3	535
Date of message creation	8	20210731 (JJJMMTT)
Time of message creation	6	105530 (HHMMSS)

Note: The individual values are separated with the underline character «\_».

## 5 Documentation LGT Standard

### 5.1 Positions File

#### 5.1.1 Field Description

Field	Description	format	example
CustNr	Customer Number	string, 12 char	1234567
RefCur	Reference Currency, ISO currency code	string, 10 char	CHF
PositionType	A = MACC F = Money Market Time C = Money Market Call X = Futures D = Forex Positions L = Loan T = Others	string, 10 char	A
PositionSubType	Position Subtype (see value table / Position Subtype)	string, 40 char	macc
Portfolio	Portfolio Number	string, 15 char	1234567123
CustAccNr	Custody Account Number	string, 15 char	1234567135
AccountNr	Account Number	string, 15 char	1234567205
Cat/SecNr*	Swiss Securities Number	string, 20 char	1222171
ISIN/ContrNr*	ISIN resp. or Contract Number	string, 20 char	CH00122217111222171
BBFIGI	Financial Instrument Global Identifier - FIGI Composite	string, 12 char	BBG000AB1CD2
Acc/SecCur	Currency of Position	string, 10 char	CHF
Acc/Sec/ContrName	Name of Position	string, 30 char	CHF account
PosDate	Verification Date	date, DD.MM.YYYY	28.04.2016
AccBalance/Qty	Account Balance or Quantity of Securities in Position Currency	numeric, 20 digits, 7 decimals	123456.1234567
AvPricePos/ContrInt	Cost Price in Reference Currency resp. Contr. Interest in Reference Currency	numeric, 20 digits, 2 decimals	53.6365
AvPriceXrate	Exchange rate form average purchase or sell price to reference currency	numeric, 20 digits, 4 decimals	65.5985
AccruedInterest	Accrued Interest in Reference Currency	numeric, 20 digits, 2 decimals	345.65
NomY/QtyN	Y = nominal N = Qty	string, 10 char	N
IntrCalcPer	Interest Calculation Period	string, 20 char	365/365
ContrIntUsance	Contract Interest Usance	string, 20 char	End of Quarter
ContrStart	Startdate of Contract	date, DD.MM.YYYY	05.03.2020
ContrEnd	Enddate of Contract	date, DD.MM.YYYY	04.03.2034

CostPrice	Purchase Price in Position Currency	numeric, 20 digits, 7 decimals	92.0894462
CurPrice	Current Price	numeric, 20 digits, 2 decimals	109.18
CurPriDate	Date of Current Price	date, DD.MM.YYYY	08.06.2020
MktValRef	Market Value in Reference Currency	numeric, 20 digits, 2 decimals	3725.78
CouponFreq	Coupon Frequency	numeric, 20 digits	4
NomVal	Nominal/Denomination	numeric, 20 digits, 2 decimals	1000
MdfDur	Modified Duration	numeric, 20 digits, 2 decimals	2.02
YTM	Year to maturity	numeric, 20 digits, 2 decimals	2
PurchDate	Purchase Date	date, DD.MM.YYYY	28.04.2019
AssetSubtype	Asset Subtype (see value table / Asset Subtype)	string, 20 char	reg-share
AssetRegion	Asset Region (see see value table / Asset Region)	string, 30 char	ass-pfm-msci-region-oth
AssetRiskCountry	Asset Risk Country, ISO country code (alpha-2)	string, 16 char	LI
MsciSector	MSCI Sector (see value table / MSCI Sector)	string, 20 char	msci-48
PayUpDate	Date of payment under subscription	date, DD.MM.YYYY	28.04.2021
MaturityDate	Maturity Date	date, DD.MM.YYYY	28.04.2037
CurrIntrestRate	Current Interest Rate	numeric, 20 digits, 2 decimals	3.75
ContrSize	Contract size	numeric, 20 digits	1000
Strike	Strike price	numeric, 20 digits, 7 decimals	103.1234567
NAVTotal	Fund total of the security in fund currency	numeric, 20 digits, 7 decimals	64502800
OutstandingPieces	Fund: Number of total fund shares Shares: Number of total shares Bonds: Emission quantity in emission currency	numeric, 20 digits, 2 decimals	2168148264
BBTicker	Bloomberg Ticker	string, 20 char	ABBN SE
WTax	Withholding tax country of the security position (see value table / Country WTax)	string, 30 char	ch_div
UnderlyingISIN	ISIN number of the securities underlyings	string, 20 char	CH00122217161222171
IBAN	IBAN number of the account	string, 20 char	CH1234567891234567891
IssueDate	Date of securities issue	date, DD.MM.YYYY	01.01.2002
IssuerName	Name of the securities issuer	string, 100 char	Sample Ltd
IssuerCountry	Country of domicile of the securities issuer	string, 80 char	Switzerland
AccFirstDate	Start date of interest calculation	date, DD.MM.YYYY	05.06.2019

LastPaidCoupDate	Date of the last coupon payment already made	date, DD.MM.YYYY	28.05.2019
PolicyNr	Insurance policy number	numeric, 8 digits	22224444
AssetClass	Asset Class Following classes are available: Liquidity, Credit, Short-term investments, Bonds, Equities, Hedge funds, Private equity, Real estate participations, Commodities/metals, Derivatives, Others	string, 80 char	Liquidity
AllocationPerTop	Percentage share of the position in the total assets of the portfolio	numeric, 8 digits	12,53
Delta	Option Delta	numeric, 20 digits, 7 decimals	0.905849

Tab. 21: Field Description of the Positions File

\* Estimated positions contain the prefix "Est." at SecNr or ISIN

### 5.1.2 File name /type

The filename is standardized and has following parts:

LGT Bank FL     *LGT\_POS\_EXP\_XXXXX\_YYYYMMDD.csv.xml*  
LGT Bank CH     *CH\_LGT\_POS\_EXP\_XXXXX\_YYYYMMDD.csv.xml*  
LGT Bank SG     *SG\_LGT\_POS\_EXP\_XXXXX\_YYYYMMDD.csv.xml*  
LGT Bank HK     *HK\_LGT\_POS\_EXP\_XXXXX\_YYYYMMDD.csv.xml*  
LGT Bank AT     *AT\_LGT\_POS\_EXP\_XXXXX\_YYYYMMDD.csv.xml*

*LGT\_POS\_EXP\_* :Fix defined part to identify file content  
**XXXXX** :Customer specific part, generated from system.  
*\_YYYYMMDD* :Position Date of included Positions (= normally Creation Date of File.)

#### 5.1.2.1 CSV File

The generated file is of type .csv. Fields are separated with ','

Numbers with decimal places are always displayed with a dot, not with a comma. (Example: 1023.45)

#### Examples

##### Header

CustNr;RefCur;PositionType;PositionSubType;Portfolio;CustAccNr;AccountNr;Cat/SecNr;ISIN/ContrNr;BBFIGI;Acc/SecCur;Acc/Sec/ContrName;PosDate;AccBalance/Qty;AvPricePos/ContrInt;AvPriceXrate;AccruedInterest;NomY/QtyN;IntrCalcPer;ContrIntUsance;ContrStart;ContrEnd;CostPrice;CurPrice;CurPriDate;MktValRef;CouponFreq;NomVal;MdfDur;YTM;PurchDate;AssetSubtype;AssetRegion;AssetRiskCountry;MsciSector;PayUpDate;MaturityDate;CurrIntrestRate;ContrSize;Strike;NAVTotal;OutstandingPieces;BBTicker;WTax;UnderlyingISIN;IBAN;IssueDate;IssuerName;IssuerCountry;AccFirstDate;LastPaidCoupDate;PolicyNr;AssetClass;AllocationPerTop;Delta

##### Account

123456;CHF;A;macc;123456001;1234567;123456012;;;CHF;CHF account;17.04.2020;-203.68;1;1;-0.46;;;;;1;;;-203.68;4;0;;30.03.2017;;ass-pfm-msci-region-oth;;;;;7.38;;;;;ch\_intr;;CH1234567891234567891;;;01.01.1900;;;Liquidity;-0.02;

##### Share

123456;EUR;T;sreg;123456001;1234567;;956952;US6516391066;BBG000BPWXK1;USD;R Newmont  
Min;15.02.2019;1700;45.16;0.7678;;N;;;;;58.8152941;33.84;08.02.2019;50783.9;;1.6;0;;01.12.2010;reg-share;ass-pfm-msci-region-eq-na;;msci-76;30.09.1973;;;;;534614887;;us\_div;;;;;Equities;5.19;

##### Fund

123456;CHF;T;fstr;123456001;1234567;;2639122;LI0026391222;BBG000BXWW08;EUR;Ut SafePo Silv  
Min;15.02.2019;12177.9073;55.62;1.2215;;N;;;;;45.5359485;32.62;07.02.2019;450199.85;;0;0;;01.01.2014;strat-fund;ass-pfm-msci-region-oth;;;09.08.2006;;;;;3325100;14728400;;li\_fund;;;;;Equities;46.03;

##### FX Trade

123456;EUR;D;fxtr;123456001;1234567;;12345678;BBG0013HGBT3;USD;Forex Forward - USD/EUR 19.06.;17.04.2020;-1545000;;1.0831;;;;;24.03.2020;19.06.2020;0.9232732;;;-1412330.1;;1;0;;curry;ass-pfm-msci-region-oth;;;01.09.1973;;;;;0.9232732;;;;;01.09.1973;Federal Reserve Bank;United States;;;;;Liquidity;-144.39;

123456;EUR;D;fxtr;123456001;1234567;;12345678;;EUR;Forex Forward - USD/EUR  
19.06.;17.04.2020;1426457.133;;0.9233;;;;;24.03.2020;19.06.2020;0.9232732;;;1429083.56;;1;0;;curry;ass-pfm-msci-region-oth;;;25.10.1996;;;;;0.9232732;;;;;25.10.1996;Europaeische Zentralbank;Germany;;;;;Liquidity;146.1;

## Option - Share

123456;EUR;T;osha;123456001;1234567;;96062971;YY0960629715;BBG00J3HN458;USD;AMZN 1600 P  
17/01/20;15.02.2019;2;90.22;0.8511;;N;;;;;106;173.65;08.02.2019;30658.55;;1;0;;21.09.2018;share-opt;ass-pfm-msci-  
region-oth;;;28.10.2017;17.01.2020;;100;1600;;;;;;;;;;;;;Derivatives;3.13;

### 5.1.2.2 XML File

The XML file contains the header in the first row. Followed by the block <pos\_list> which includes each position in the block <pos>.

#### examples

##### Structure:

```
<?xml version="1.0" encoding="ISO-8859-1"?>
<pos_list>
  <pos>
    ...
  <pos>
</pos_list>
```

##### Account

```
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  <RefCur>CHF</RefCur>
  <PositionType>A</PositionType>
  <PositionSubType>macc</PositionSubType>
  <Portfolio>0123456001</Portfolio>
  <CustAccNr>1234567</CustAccNr>
  <AccountNr>123456012</AccountNr>
  <CatSecNr></CatSecNr>
  <ISINContrNr></ISINContrNr>
  <BBFIGI></BBFIGI>
  <AccSecCur>CHF</AccSecCur>
  <AccSecContrName>CHF account</AccSecContrName>
  <PosDate>17.04.2020</PosDate>
  <AccBalanceQty>-203.6800000</AccBalanceQty>
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  <AvPriceXrate>1.0000</AvPriceXrate>
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  <AssetRiskCountry></AssetRiskCountry>
  <MsciSector></MsciSector>
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  <MaturityDate></MaturityDate>
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  <Strike></Strike>
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  <OutstandingPieces></OutstandingPieces>
  <BBTicker></BBTicker>
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  <UnderlyingISIN></UnderlyingISIN>
  <IBAN>CH1234567891234567891</IBAN>
  <IssueDate></IssueDate>
  <IssuerName></IssuerName>
  <IssuerCountry></IssuerCountry>
  <AccFirstDate>01.01.1900</AccFirstDate>
  <LastPaidCoupDate></LastPaidCoupDate>
  <PolicyNr></PolicyNr>
  <AssetClass>Liquidity</AssetClass>
  <AllocationPerTop>-0.02</AllocationPerTop>
```



</pos> <Delta></Delta>

## Share

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</pos>

## Fund

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<AccountNr></AccountNr>  
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<ISINContrNr>LI0026391222</ISINContrNr>  
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<AccSecCur>EUR</AccSecCur>  
<AccSecContrName>Ut SafePo Silv Min</AccSecContrName>  
<PosDate>15.02.2019</PosDate>  
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```

<AvPricePosContrInt>55.62</AvPricePosContrInt>
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```

## FX Trade

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<AccSecContrName>Forex Forward - USD/EUR 19.06.</AccSecContrName>
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<ContrSize></ContrSize>
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```

```

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```

## Option - Share

```

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<PositionSubType>osha</PositionSubType>

```

```

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<CustAccNr>1234567</CustAccNr>
<AccountNr></AccountNr>
<CatSecNr>96062971</CatSecNr>
<ISINContrNr>YY0960629715</ISINContrNr>
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<AccSecCur>USD</AccSecCur>
<AccSecContrName>AMZN 1600 P 17/01/20</AccSecContrName>
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<AvPriceXrate>0.8511</AvPriceXrate>
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<NomY_QtyN>N</NomY_QtyN>
<CostPrice>106.0000000</CostPrice>
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<LastPaidCoupDate></LastPaidCoupDate>
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</pos>

```

## 5.2 Transactions File

### 5.2.1 Feld Description

Field	Description	format	example
CustNr	Customer Number	string, 12 char	01234567
RefCur	Reference Currency, ISO currency code	string, 10 char	CHF
Portfolio	Portfolio Number	string, 15 char	01234567001
CustAccNr	Custody Account Number	string, 15 char	1234567
OrderNr	Order-Nr.	string, 15 char	1234567890
BusinessType	Business Type (see value table / BusinessType)	string, 30 char	stex
OrderType	Order Type (see value table / TRANSACTION-TYPE)	string, 30 char	stex-buy
	Cancellations are recorded with the addition "-cancellation" (e.g. stex-buy-cancellation)		
TrxDate	Transaction Date	date, DD.MM.YYYY	20.04.2016
ValDate	Value Date	date, DD.MM.YYYY	22.04.2016
BookDate	Booking Date	date, DD.MM.YYYY	20.04.2016
AccSecCur	Currency of account or position, ISO currency code	string, 10 char	CHF
BookQtyAmount	Quantity/Amount of Booking	numeric, 20 digits, 2 decimals	3598.50
Method	Description of field Qty: piece = Piece nominal = Nominal contr = Contract (TOFF) contr_fut = Contract (Future) contr_fut_nom = Future Nominal	string, 30 char	piece
Price	Price	numeric, 20 digits, 6 decimals	19.123456
CalcCurry	Currency, ISO currency code	string, 10 char	CHF
CalcQtyAmount	Qty * CalcPrice	numeric, 20 digits, 6 decimals	3598.123456
TrxCurry	Transaction Currency	string, 10 char	CHF
TrxQtyAmount	Transaction Amount	numeric, 20 digits, 2 decimals	3598.50
Xrate	Exchange Rate	numeric, 20 digits, 6 decimals	1.123456
TrxAmountCHF	Transaction Amount in CHF	numeric, 20 digits, 2 decimals	3598.50
AccruedInterest	Accrued Interest in Position Currency	numeric, 20 digits, 2 decimals	789.26
FeesCommission	Total of Fees and Commission	numeric, 20 digits, 2 decimals	50.30
BookingText	Booking Text	string, 2000 char	payment

PositionType	A = Account K = Contract F = Money Market Time C = Money Market Call X = Futures D = Forex Positions L = Loan T = Others	string, 10 char	A
AccountNr	Account Number	string, 15 char	1234567205
Cat/SecNr	Swiss Securities Number	string, 30 char	1222171
ISINContrNr	ISIN resp. or Contract Number	string, 30 char	CH00122217161222171
BBFIGI	Financial Instrument Global Identifier - FIGI Composite	string, 12 char	BBG000AB1CD2
AccSecContrName	Name of Position	string, 65 char	CHF account
AssetSubtype	Asset Subtype (see value table / Asset Subtype)	string, 20 char	reg-share
ExDateQtyAmount	Number of securities/nominal amount per ex-date for dividend or interest payments	numeric, 20 digits	150
PaymentReason	Reason for payment of incoming and outgoing payments	string, 2000 char	Payment number 12345
BBTicker	Bloomberg Ticker	string, 20 char	ABBN SE
UnderlyingISIN	ISIN number of the securities underlyings	string, 20 char	CH00122217161222171
IBAN	IBAN number of the account	string, 20 char	CH1234567891234567891
TrdPlaceISO	Stock exchange of the securities transaction in ISO format	string, 20 char	XVTX
VAT	Value added tax	numeric, 20 digits, 2 decimals	15.80
CurrIntrestRate	Current Interest Rate	numeric, 20 digits, 6 decimals	3.55
ExecTime	Execution time (date and time) of securities orders. For partial executions, the time of the last execution is shown.	date, DD.MM.YYYY hour, HH:MM	01.09.2021 18:05
LinkedOrderNr	Delivery of linked order number to the current order. The field is filled for the following trades: <b>SWAP order</b> Delivery of the opening order of the SWAP trade <b>Substitution order</b> Delivery of the prepayment order (securities purchase) for the actual purchase (substitution)	string, 15 char	111222333
PrefixUTI	Prefix to the Unique Transaction Identifier	string, 20 char	A1B2C3D4E5F6G7H8I9J0
UTI	Unique Transaction Identifier	string, 20 char	L1123456789
FEE_(FeeCode)	There is for each Fee Code a column  Description in value tables	numeric, 20 digits, 2 decimals	

- FeesFL (LGT Liechtenstein)
- FeesAT (LGT Austria)
- FeesCH (LGT Switzerland)
- FeesSG (LGT Singapore)
- FeesHK (LGT Hong Kong)

Tab. 22: Field Description of the Transactions File

### 5.2.2 File name / Type of file

The filename is standardized and has following parts:

LGT Bank FL	<i>LGT_TRX_EXP_XXXXX_YYMMDD.csv.xml</i>
LGT Bank CH	<i>CH_LGT_TRX_EXP_XXXXX_YYMMDD.csv.xml</i>
LGT Bank SG	<i>SG_LGT_TRX_EXP_XXXXX_YYMMDD.csv.xml</i>
LGT Bank HK	<i>HK_LGT_TRX_EXP_XXXXX_YYMMDD.csv.xml</i>
LGT Bank AT	<i>AT_LGT_TRX_EXP_XXXXX_YYMMDD.csv.xml</i>

- LGT\_TRX\_EXP\_* :Fix defined part to identify file content
- XXXXX** :Customer specific part, generated from system.
- \_YYMMDD* :Transaction Date of included Transactions (= normally Creation Date of File.)

#### 5.2.2.1 CSV File

The generated file is of type .csv. Fields are separated with ‘;’  
 Numbers with decimal places are always displayed with a dot, not with a comma. (Example: 1023.45)

Example

#### Header

```
CustNr;RefCur;Portfolio;CustAccNr;OrderNr;BusinessType;OrderType;TrxDate;ValDate;BookDate;Acc/Sec-
Cur;BookQtyAmount;Method;Price;CalcCurry;CalcQtyAmount;TrxCurry;TrxQtyAmount;Xrate;TrxAmountCHF;Ac-
cruedInterest;FeesCommission;BookingText;PositionType;AccountNr;Cat/SecNr;ISIN/Con-
trNr;BBFIGI;Acc/Sec/ContrName;AssetSubtype;ExDateQtyAmount;PaymentReason;BBTicker;Underly-
ingISIN;IBAN;TrdPlaceISO;VAT;CurrInterestRate;ExecTime;LinkedOrderNr;PrefixUTI;UTI;FEE_cost-
stp;FEE_cost-comm-mmkt;FEE_cost-tax-cant;.....
```

#### Incoming payment

```
123456;CHF;123456001;1234567;123456781;inpay;inpay-
inpay;04.02.2019;05.02.2019;04.02.2019;CHF;1000;nominal;;;CHF;1000;1000;;;XXXXXXXX Xx: 999999999
XXXXXXXXXX XXXX XXXX XXXXXXXXXXXXXXXX XXXXXXXXXXX XXXXXXXXXXX 99 9999 XXXXX XXXXXXXXXXXXXXXX;A;1234567;;;CHF
Konto;;;XXXXXXXXXX;;CH1234567891234567891;,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
```

#### Outgoing payment

```
123456;CHF;123456001;1234567;123456780;pay;pay;04.02.2019;05.02.2019;04.02.2019;CHF;-
1935.15;nominal;;;CHF;-1935.15;;-1935.15;;-2;XXXXXXXX Xx: 999999999 XXXXXXXXXXX XXXXXXXX
XXXXX;A;1234567;;;CHF Konto;;;XXXXXXXX
XXX;;CH1234567891234567891;,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
2;,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
```

#### Dividend payment

```
123456;EUR;123456001;1234567;123456785;sectrx2;sectrx2-div-
cash;04.02.2019;04.02.2019;04.02.2019;EUR;6460;nominal;;;EUR;6460;;7321.18;;-1140;Auftrag 123456785
Bardividende N Siemens;A;1234567;1234567;DE0007236101;BBG000BCCRV3;EUR Konto / R Siemens;reg-
share;,,,,,,,,,,,,,,,,,,,,,,,,,,,,-
1140;,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
```

#### Buy security





```

<Portfolio>0123456001</Portfolio>
<CustAccNr>1234567</CustAccNr>
<OrderNr>123456780</OrderNr>
<BusinessType>pay</BusinessType>
<OrderType>pay</OrderType>
<TrxDate>04.02.2019</TrxDate>
<ValDate>05.02.2019</ValDate>
<BookDate>04.02.2019</BookDate>
<AccSecCur>CHF</AccSecCur>
<BookQtyAmount>-1935.15</BookQtyAmount>
<Method>nominal</Method>
<CalcPrice></CalcPrice>
<CalcCurry></CalcCurry>
<CalcQtyAmount></CalcQtyAmount>
<TrxCurry>CHF</TrxCurry>
<TrxQtyAmount>-1935.15</TrxQtyAmount>
<Xrate></Xrate>
<TrxAmountCHF>-1935.15</TrxAmountCHF>
<AccruedInterest></AccruedInterest>
<FeesCommission>-2.00</FeesCommission>
<BookingText>XXXXXXXX Xx: 999999999 XXXXXXXXXXX XXXXXXX XXXXX</BookingText>
<PositionType>A</PositionType>
<AccountNr>0001234567</AccountNr>
<CatSecNr></CatSecNr>
<ISINContrNr></ISINContrNr>
<BBFIGI></BBFIGI>
<AccSecContrName>CHF Konto</AccSecContrName>
<AssetSubtype></AssetSubtype>
<ExDateQtyAmount></ExDateQtyAmount>
<PaymentReason>XXXXXXXX XXX</PaymentReason>
<BBTicker></BBTicker>
<UnderlyingISIN></UnderlyingISIN>
<IBAN>CH1234567891234567891</IBAN>
<TrdPlaceISO></TrdPlaceISO>
<VAT></VAT>
<CurrInterestRate></CurrInterestRate>
<ExecTime></ExecTime>
<LinkedOrderNr></LinkedOrderNr>
<PrefixUTI></PrefixUTI>
<UTI></UTI>
<fee_list>
<FEE_pay-lgt-form>-2</FEE_pay-lgt-form>
</fee_list>
</trx>

```

## Dividend payment

```

<trx>
<CustNr>0123456</CustNr>
<RefCur>EUR</RefCur>
<Portfolio>0123456001</Portfolio>
<CustAccNr>1234567</CustAccNr>
<OrderNr>123456785</OrderNr>
<BusinessType>sectrx2</BusinessType>
<OrderType>sectrx2-div-cash</OrderType>
<TrxDate>04.02.2019</TrxDate>
<ValDate>04.02.2019</ValDate>
<BookDate>04.02.2019</BookDate>
<AccSecCur>EUR</AccSecCur>
<BookQtyAmount>6460</BookQtyAmount>
<Method>nominal</Method>
<CalcPrice></CalcPrice>
<CalcCurry></CalcCurry>
<CalcQtyAmount></CalcQtyAmount>
<TrxCurry>EUR</TrxCurry>
<TrxQtyAmount>6460.00</TrxQtyAmount>
<Xrate></Xrate>
<TrxAmountCHF>7321.18</TrxAmountCHF>
<AccruedInterest></AccruedInterest>
<FeesCommission>-1140.00</FeesCommission>
<BookingText>Auftrag 123456785 Bardividende N Siemens</BookingText>
<PositionType>A</PositionType>
<AccountNr>0001234567</AccountNr>
<CatSecNr>1234567</CatSecNr>
<ISINContrNr>DE0007236101</ISINContrNr>
<BBFIGI>BBG000BCCR3</BBFIGI>
<AccSecContrName>EUR Konto / R Siemens</AccSecContrName>
<AssetSubtype>reg-share</AssetSubtype>
<ExDateQtyAmount></ExDateQtyAmount>
<PaymentReason></PaymentReason>
<BBTicker></BBTicker>

```

```

<UnderlyingISIN></UnderlyingISIN>
<IBAN>CH1234567891234567891</IBAN>
<TrdPlaceISO></TrdPlaceISO>
<VAT></VAT>
<CurrInterestRate></CurrInterestRate>
<ExecTime></ExecTime>
<LinkedOrderNr></LinkedOrderNr>
<PrefixUTI></PrefixUTI>
<UTI></UTI>
<fee_list>
<FEE_wtax>-1140</FEE_wtax>
</fee_list>
</trx>

```

### Buy security

```

<trx>
<CustNr>0123456</CustNr>
<RefCur>EUR</RefCur>
<Portfolio>0123456001</Portfolio>
<CustAccNr>1234567</CustAccNr>
<OrderNr>123456790</OrderNr>
<BusinessType>stex</BusinessType>
<OrderType>stex-buy</OrderType>
<TrxDate>22.11.2016</TrxDate>
<ValDate>24.11.2016</ValDate>
<BookDate>22.11.2016</BookDate>
<AccSecCur>GBP</AccSecCur>
<BookQtyAmount>2000</BookQtyAmount>
<Method>piece</Method>
<CalcPrice>6.398</CalcPrice>
<CalcCurry></CalcCurry>
<CalcQtyAmount>-12796.00</CalcQtyAmount>
<TrxCurry></TrxCurry>
<TrxQtyAmount></TrxQtyAmount>
<Xrate>1.575835</Xrate>
<TrxAmountCHF></TrxAmountCHF>
<AccruedInterest></AccruedInterest>
<FeesCommission></FeesCommission>
<BookingText>Auftrag 123456790 Kauf 2'000.00 Stk. R HSBC (1234567) </BookingText>
<PositionType>T</PositionType>
<AccountNr></AccountNr>
<CatSecNr>1234567</CatSecNr>
<ISINContrNr>GB0005405286</ISINContrNr>
<BBFIGI>BBG000BS1N49</BBFIGI>
<AccSecContrName>R HSBC Hldg</AccSecContrName>
<AssetSubtype>reg-share</AssetSubtype>
<ExDateQtyAmount></ExDateQtyAmount>
<PaymentReason></PaymentReason>
<BBTicker>XSBA LN</BBTicker>
<UnderlyingISIN></UnderlyingISIN>
<IBAN></IBAN>
<TrdPlaceISO></TrdPlaceISO>
<VAT></VAT>
<CurrInterestRate></CurrInterestRate>
<ExecTime></ExecTime>
<LinkedOrderNr></LinkedOrderNr>
<PrefixUTI></PrefixUTI>
<UTI></UTI>
<fee_list>
</fee_list>
</trx>

```

## 5.3 Exchange Rate File

### 5.3.1 Field Description

Field	Description	Format	Example
ValDate	Value Date	String, 10 char	10.06.2011
CurFrom	From Currency	String, 7 char	EUR
CurTo	To Currency	String, 7 char	CHF
ExRate	Exchange Rate	numeric, 16 digits, 8 decimals	0.26260000

Tab. 23: Field Description of the Exchange Rate File

CurFrom: All in System available Currencies. (including metal currencies e.g. GAU)

CurTo: Currencies: CAD,CHF,EUR,USD,GBP,AUD,DKK,HKD,JPY,SEK,SGD

### 5.3.2 File name / Type of File

The filename is standardized and has following parts:

LGT Bank FL     *LGT\_EXR\_EXP\_XXXXX\_YYYYMMDD.csv.xml*  
LGT Bank CH     *CH\_LGT\_EXR\_EXP\_XXXXX\_YYYYMMDD.csv.xml*  
LGT Bank SG     *SG\_LGT\_EXR\_EXP\_XXXXX\_YYYYMMDD.csv.xml*  
LGT Bank HK     *HK\_LGT\_EXR\_EXP\_XXXXX\_YYYYMMDD.csv.xml*  
LGT Bank AT     *AT\_LGT\_EXR\_EXP\_XXXXX\_YYYYMMDD.csv.xml*

LGT\_EXR\_EXP\_     : Fix defined part to identify file content  
XXXXX            : Customer specific part, generated from system.  
\_YYYYMMDD        : Date of included Exchange Rates

#### 5.3.2.1 CSV File

The generated file is of type .csv. Fields are separated with ','

Numbers with decimal places are always displayed with a dot, not with a comma. (Example: 1.47981400)

Example:

```
ValDate;CurFrom;CurTo;ExRate
20.04.2016;EUR;CAD;1.47981400
20.04.2016;EUR;CHF;1.08722000
20.04.2016;EUR;USD;1.14060000
20.04.2016;EUR;GBP;0.80870700
20.04.2016;EUR;AUD;1.50673700
```

#### 5.3.2.2 XML File

The XML file contains the header in the first row. Followed by the block <exr\_list> which includes each position in the block <exr>.

Example:

```
<?xml version="1.0" encoding="ISO-8859-1"?>
<exr_list>
  <exr>
    <ValDate>19.04.2016</ValDate>
    <CurFrom>EUR</CurFrom>
    <CurTo>CAD</CurTo>
    <ExRate>1.47981400</ExRate>
  </exr>
  <exr>
    <ValDate>19.04.2016</ValDate>
```

```
<CurFrom>EUR</CurFrom>
<CurTo>CHF</CurTo>
<ExRate>1.08722000</ExRate>
</exr>
<exr>
  <ValDate>19.04.2016</ValDate>
  <CurFrom>EUR</CurFrom>
  <CurTo>USD</CurTo>
  <ExRate>1.14060000</ExRate>
</exr>
<exr>
  <ValDate>19.04.2016</ValDate>
  <CurFrom>EUR</CurFrom>
  <CurTo>GBP</CurTo>
  <ExRate>0.80870700</ExRate>
</exr>
<exr>
  <ValDate>19.04.2016</ValDate>
  <CurFrom>EUR</CurFrom>
  <CurTo>AUD</CurTo>
  <ExRate>1.50673700</ExRate>
</exr>
</exr_list>
```

## 6 Delivery Channels

### 6.1 Delivery of SWIFT messages

SWIFT messages can be delivered via the SWIFT network or to LGT's own SFTP server. Secure access to the server is provided via the public/private key procedure and verification of the client's fixed IP address.

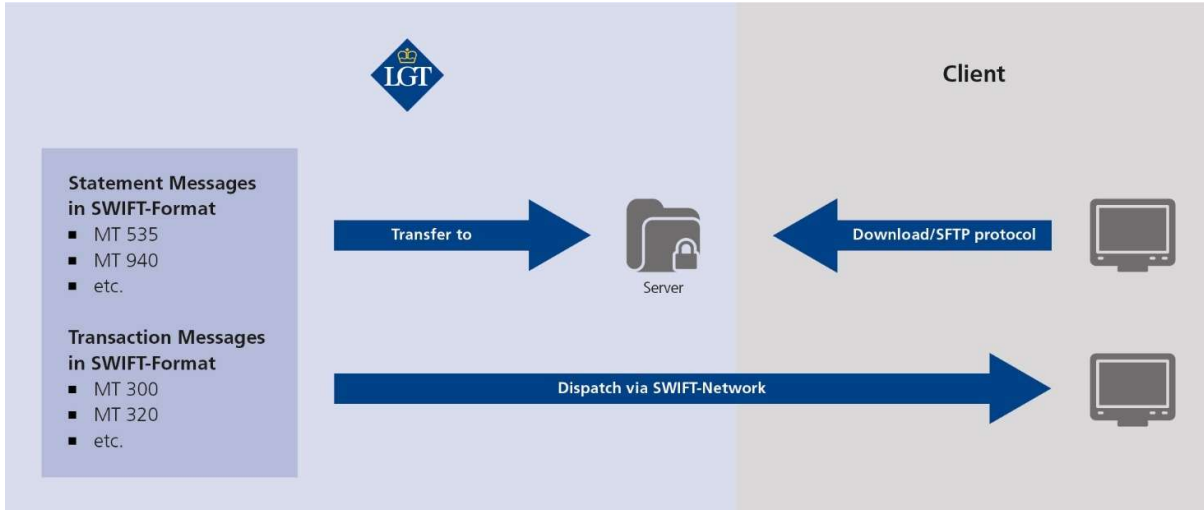


Figure 1: Distribution Channels for SWIFT-Messages

### 6.2 Delivery of LGT Standard data

LGT Standard data is delivered to LGT's own SFTP server. Secure access to the server is provided via the public/private key procedure and verification of the client's fixed IP address.

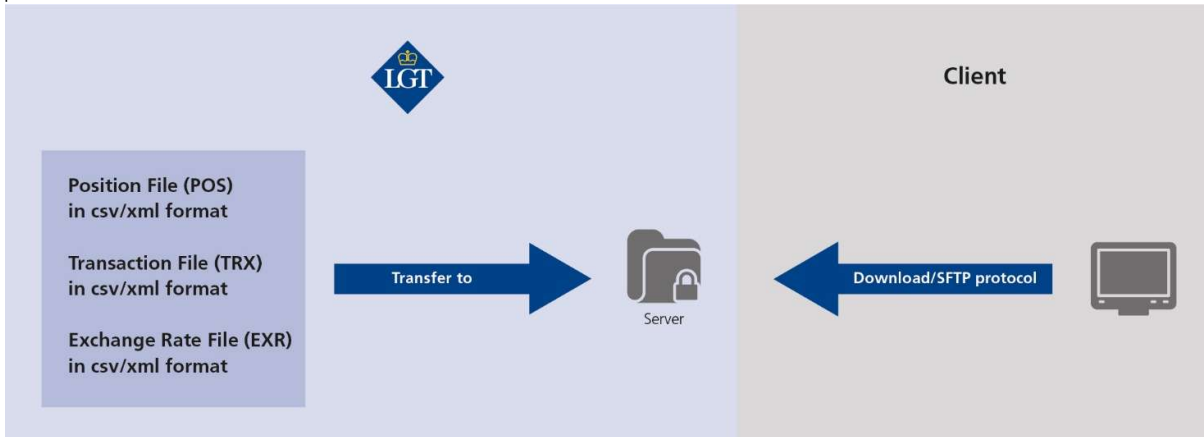


Figure 2: Distribution Channels for Files